

Description

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Method and arrangement for forming and checking a
 5 checksum for digital data which are grouped into a
 number of data segments

In digital communications, i.e., during the
 exchange of digital data, it is frequently desirable to
 10 ~~protect the transmission of the electronic data with~~
^{protect various aspects}
~~respect to the most varied aspects.~~

^{one}
 integrity of a very significant aspect is the protection of
 the digital data to be transmitted against unauthorized
 modification, ~~the so-called protection of the integrity~~
 15 ~~of the data.~~

Description of the Related Art

As a protection against unauthorized
 modification of digital data, the ~~so-called~~
 cryptographic checksum, ^{such as} ~~for example~~ the digital
 signature, is known from [1]. The method described in
 20 ~~[1]~~ ^{Stallings} is based on forming a hashing value from the
 digital user data and the subsequent cryptographic
 processing of the hashing value by ~~means~~ ^{way} of a
 cryptographic key. The result is a ^{of the data} cryptographic
 checksum. To check the integrity, a corresponding
 25 cryptographic key is used for performing the inverse
 cryptographic operation on the checksum formed and the
 result is compared with the hashing value again
 calculated from the user data. The integrity of the
 user data is ensured when the hashing values ~~are~~ ^{match}
 30 ~~matched.~~

This ^{known} ~~previously~~ customary procedure
^{requires} ~~necessitates~~ that the complete user data ~~must~~ ^{to} be
 present on the receiver side in the identical order in
 which they were present when the hashing value was
 35 formed ^{if it is not} ~~since otherwise~~ the formation of the hashing
 value leads to an ^{erroneous} ~~errored~~ value. In digital
 communications, however, it is frequently customary to
 subdivide and to transmit the user data to be
 transmitted in relatively small data segments, which are

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also called data packets, due to protocol boundary conditions.

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The data segments are frequently not tied to a defined order; ~~it may not be possible to guarantee a defined sequential arrival of the data segments.~~ ^{The} ~~described in Stallings requires~~

5 user data to be reassembled again on the receiver side, ~~that is to say~~ after the transmission of the data segments, in the order in which they were originally sent. The data to be transmitted can only be verified in this order. However, this frequently means
10 considerable additional ^{overhead} ~~expenditure~~ for the flow control of the data segments inasmuch as this is ^{even} possible at all within the framework of the protocol used.

^{Commutative}
15 ~~From [2], commutative operations are known. In~~ ^{Kiyek & Schwarz include} ~~a general definition for commutative operations, is~~ ^{which}
~~also specified. Illustratively, a commutative operation~~
can be understood to be an operation in which the order of individual operations is unimportant and ^{any order} ~~each order~~ of individual ^{operations} ~~operation~~ always leads to the same total
20 operation. A commutative operation can be, for example, ^{exclusive OR (EXOR)} ~~an EXOR operation~~, an additive operation or also a multiplicative operation.

^{From [3], a method and a device for generating}
check code segments for the occurrence of source data
25 and for determining errors in the source data are known.

^{as} SUMMARY OF THE INVENTION

The invention is thus based on the object of specifying methods and arrangements for forming and checking a first commutative checksum for digital data
30 which are grouped into a number of data segments, in which a flow control for the individual data segments is no longer required.

~~The object is achieved by the method according to Claim 1, by the method according to Claim 2, by the method according to Claim 3, by the arrangement according to Claim~~

11, by the arrangement according to Claim 12 and by the arrangement according to Claim 13.

a In the ^{first} method ~~according to Claim 1~~, a first segment checksum is formed for each data segment for digital data which are grouped into a number of data segments. The first segment checksums formed are combined by a commutative operation to form a first commutative checksum.

a In the ^{second} method ~~according to Claim 2~~, a predetermined first commutative checksum, which is allocated to digital data which are grouped into a number of data segments, is checked. This is done by a second segment checksum being formed for each data segment and a second commutative checksum being formed by a commutative operation on the second segment checksum. The second commutative checksum and the first commutative checksum are checked for a match.

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a In the ^{third} method ~~according to Claim 3~~ for forming and checking a first commutative checksum for digital data which is grouped into data segments, a first segment checksum is formed for each data segment and the first data checksums are combined by a commutative operation to form a first commutative checksum. For each data segment of the digital data to which the first commutative checksum is allocated, second segment checksums are formed and a second commutative checksum is formed by commutative operation on the second segment checksums. The second commutative checksum and the first commutative checksum are checked for a match.

30 The arrangement according to Claim 11 exhibits an arithmetic and logic unit which is arranged in such a manner that a segment checksum is formed for each data segment and that the first commutative checksum is formed by a commutative operation on the segment checksums.

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The ^{second} arrangement ~~according to Claim 12~~ ^{has} exhibits an arithmetic and logic unit which is arranged in such a manner that a second segment checksum is formed for each data segment, a second commutative checksum is

5 formed by a commutative operation on the second segment checksums, and the second commutative checksum (KP2) is checked for a match with the first commutative checksum (KP1).

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The ^{third} arrangement ~~according to Claim 13~~ ^{has} exhibits

10 an arithmetic and logic unit which is arranged in such a manner that the following method steps are performed:

a) a segment checksum is formed for each data segment,

b) the first commutative checksum is formed by a commutative operation on the segment checksums,

15 c) a second segment checksum is formed for each data segment of the digital data to which the first commutative checksum is allocated,

d) a second commutative checksum is formed by a commutative operation on the second segment checksums,

20 and

e) the second commutative checksum is checked for a match with the first commutative checksum.

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A considerable advantage of the methods and of the arrangements can be seen in the fact that, by using

25 a commutative operation for individual checksums of the data segments, a flow control for the order of the individual data segments is no longer required.

Furthermore, it is no longer required to reassemble the complete user data in the original order

30 in which the first commutative checksums were formed. The order of the individual data segments is no longer of significance in the formation of the commutative checksum.

If the digital data are transmitted between two arrangements, a further advantage of ~~the~~ ^{these} methods can be seen in ~~the fact~~ that the checking of the integrity can already be begun before all data segments have been received, since it is no longer required to maintain the original order in forming the first checksum. This leads to a timesaving in the ~~checking of the integrity of the data.~~ ^{data integrity}

~~Illustratively, the~~ invention can be seen in
10 the fact that a checksum is formed in the case of a
number of data segments which, together, form the data
to be protected, and the individual checksums of the
data segments are commutatively combined with one
another.

15 Advantageous further developments of the
invention are ~~discussed below~~ ~~obtained from the dependent claims.~~

It is advantageous to protect the first commutative checksum cryptographically by using at least one cryptographic operation.

20 The result of this further development is that
the cryptographic security of the data is considerably
increased. A cryptographic operation in this sense is,
for example, the encrypting of the first commutative
checksum with a symmetric or also with an assymetric
25 encryption method which forms a cryptographic checksum.
On the receiver side, the inverse cryptographic method
to the cryptographic method is performed in order to
ensure cryptographic security.

To form a checksum within the context of the document, various possibilities are known:

- a checksum can be formed by forming hashing values for the individual data segments;

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- the checksums can also be formed by ~~so-called~~ cyclic codes (Cyclic Redundancy Check, CRC);
- a cryptographic one-way function can also be used for forming the checksums for the data segments.

5 The methods can be advantageously used in various application scenarios.

10 The methods can be used both in the transmission of digital data for protection against manipulation of the data, and in the archiving of digital data in a computer in which the first commutative checksum is formed and stored together with the data to be archived. The first commutative checksum can be checked when the digital data are loaded from the archive memory in order to detect any manipulation

15 of the archived data.

20 The method can be advantageously used for protecting digital data ^{in which} the data segments ~~of which~~ are not tied to an order. Examples of such data segments are packet-oriented communication protocols, for example network management protocols such as the Simple Network Management Protocol (SNMP) or the Common Management Information Protocol (CMIP).

25 In the text which follows, an illustrative embodiment of the invention will be explained in greater detail with reference to a Figure. ~~Even if the~~ ^{The} illustrative embodiment ~~is~~ explained with reference to the Simple Network Management Protocol (SNMP) in the text which follows, ~~this~~ does not ^{imply} ~~represent~~ any restriction on the applicability of the method. The

30 method can be used whenever it is of importance to ensure integrity protection for digital data which are grouped into a number of data segments.

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BRIEF DESCRIPTION OF THE DRAWINGS

The Figure shows two arrangements in which data segments are being transmitted from the first arrangement to the second arrangement.

In the Figure, a first computer arrangement A1, in which data segments (D_i , $i = 1 \dots n$) are stored, is shown symbolically. The data segments D_i together form the digital data, which are also designated as user data, for which integrity must be maintained ~~it is of importance to ensure their integrity.~~

~~Both the first computer arrangement A1 and a second computer arrangement A2, described in the text which follows in each case contain an arithmetic and logic unit R which is arranged in such a manner that the method steps described in the text which follows are performed.~~ *The following text, each below*

In the first arrangement A1, the data segments D_i are arranged at positions P_i within the total data stream. For each data segment D_i , a first segment checksum PS_i is ~~formed~~ *formed* by using a checksum function PSF. The individual first segment ~~checksums~~ *checksums* PS_i are combined to form a first commutative checksum KP_1 by a commutative operation as defined and described in ~~the text~~ *Rijck & Schwarz*. The commutative operation on the individual checksums PS_i are shown symbolically by an EXOR symbol \oplus in the Figure.

The first commutative checksum KP_1 is subjected to a cryptographic ~~method~~ *operation*, a symmetric or asymmetric method, by using a first cryptographic key S (step 101). The result of the cryptographic operation is a cryptographic checksum KP .

Both the data segments D_i and the cryptographic checksum KP are transmitted by a transmission medium, preferably a line or also a logical connection which is symbolically shown by a communication link UM in the Figure,

to a second arrangement A2 where they are received.

The crossing arrows of the data segments D_i in the Figure indicate that, due to the transmission of the data segments D_i , these are received in positions P_j ($j = a \dots z$) which are displaced compared with the order in the first arrangement A1.

Thus, a data segment D2 at the first position P1 is received as data segment Da in the second arrangement A2. Data segment D1 is received as data segment Dc in the second arrangement. Data segment Dn is received as received data segment Db at the second position P2 in the second arrangement A2.

In accordance with the method used, either the first cryptographic key S is used for performing the inverse cryptographic operation on the cryptographic checksum KP if a symmetric encryption method is used, or a second cryptographic key S' is used if an asymmetric cryptographic method is used.

The result of the inverse cryptographic operation (step 102) is again the first commutative checksum KP1 with correct encryption and decryption.

This checksum is stored in the second arrangement A2. For the comparison of the data segments D_j , which are now received in permuted order compared with the original order during the formation of the first commutative checksum KP1, second segment checksums Ps_j are formed for the received data segments D_j , again using the same checksum ~~functions~~ ^{functions} ~~methods~~ PSF.

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The resultant second ^{Segment} checksums PSj are again commutatively combined with one another to form a second commutative checksum KP2.

In a further ^{comparative} step 103, a check is made whether the first commutative checksum KP1 matches the second commutative checksum KP2.

If this is so, the integrity of the data segments Di, and thus the integrity of all the digital data, is ensured (step 104) if the cryptographic methods used or, respectively, the methods used for forming checksums ensure the corresponding cryptographic security.

If the first cryptographic checksum KP1 does not match the second cryptographic checksum KP2, the integrity of the data segments Di would be violated ^{possibly indicating} and a manipulation of the data ^{such a condition would} is found and preferably reported to a user of the system.

The protocol data units (PDU) in SNMP are structured in such a manner that the user information (~~so-called~~ variable bindings) can contain a list of objects (object indicators, OID/value pairs). The order of the objects within a PDU is not specified so that it is possible for a permutation of the objects to occur during the transmission of the PDUs between the first ^{computer} arrangement A1 and the second ^{computer} arrangement A2. The invention now makes it possible to form a single cryptographic checksum over all objects of an SNMP PDU without having to take into consideration the order of the objects or of the PDUs.

^{The text below explains}
In the text which follows, alternatives to the illustrative embodiment described above ~~will be explained.~~

The method for forming the checksum PSF can be, for example, a method for forming hashing values. However, methods for forming cyclic codes (Cyclic Redundancy Check, CRC) using feedback-type shift registers can also be used. In addition, cryptographic one-way functions can be used for forming the checksums P_{Si} and, respectively, P_{Sj}.

Furthermore, the commutative operation can have the additional property of associativity.

Both the method for forming the checksum and the method for checking a checksum can be performed independently of one another. ^{either} ~~However, the method for forming the checksum and the method for checking the checksum can also be performed jointly.~~ ^{or}

Furthermore, ^{the method also allows one} ~~it is provided not to transmit digital data but to archive the digital data, that is to say to store them in the first arrangement A₁, together with the first commutative checksum KP₁. When the archived data are reused, that is to say when the data segments D_i are loaded from the memory of the first arrangement A₁, the method for checking the first commutative checksum KP₁ as described above will then be performed. The first arrangement A₁ and the second arrangement A₂ can thus be identical.~~ ^{by storing the digital data}

^{the} ~~illustratively, the~~ invention can be seen ^{where} ~~in that in the case of a number of data segments which together represent the data to be protected, a checksum is formed for each data segment and the individual checksums of the data segments are commutatively combined with one another. This makes it possible to form and to check a checksum without having to take into consideration the order of the data segments.~~ ^{consider}

